

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5918

First Named Inventor or Application Identifier

Shiro Uchida

Express Mail Label No: EV328250085US

Only for new non-provisional applications under 37 CFR 1.53(b))

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Arlington, VA 222313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 29
2. ☒ Drawing(s) (35USC 113) Total Pages 9
3. ☒ Declaration and Power of Attorney Total Pages 3
 - a. ☒ Unexecuted(original or copy)
 - b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
4. ☒ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) named in the prior appln., see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Assignment Papers (cover sheet & documentation)
Sony Corporation (copy from parent)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☒ Information Disclosure
☒ Copies of IDS ☒ Statement (IDS)/PTO-1449 Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Applicant is a Small Business Entity
12. ☒ Copy of front page of Japanese priority document No. P2001-104683 filed April 3, 2001, which was filed in parent application Serial No. 10/115,314.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) ☒ of U.S. application No. 10/115,314 filed April 3, 2002, based on Japanese application No. P2001-104683 filed April 3, 2001.

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
	TOTAL CLAIMS	7		\$18.00	
	INDEPENDENT CLAIMS	2		86.00	
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		290.00	0.00
				TOTAL FEE	\$770.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ Please charge attorney's firm American Express Account No. ██████████ in the amount of \$ 770.00 to cover the above fees. PTO Form 2038 is enclosed for that purpose.

☐ A check in the amount of \$ to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: April 9, 2004

David R. Metzger
312/876-2578

April 9, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com
Chicago
Kansas City
Los Angeles
New York
San Francisco
Short Hills, N.J.
St. Louis
Washington, D.C.
West Palm Beach

RE: New U.S. Continuation-in-Part Application for Letters Patent entitled
"SEMICONDUCTOR LASER DEVICE AND FABRICATION METHOD
THEREOF"

Applicant(s): Shiro Uchida, et al.
Attorney Docket No. 09792909-5918

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 1 claims (1 independent), 9 sheets of drawings (Figs. 1-9) and the filing fee of
\$770.00 on behalf of


SHIRO UCHIDA and TSUYOSHI TOJO

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL LLP

By:


David R. Metzger

DRM:kat
Enclosures